

4-13-01

A

BAKER BOTTS LLP

30 ROCKEFELLER PLAZA  
44TH FLOOR  
NEW YORK, NEW YORK  
10112-0228  
212.705.5000  
FAX 212.705.5020

AUSTIN  
BAKU  
DALLAS  
HOUSTON  
LONDON  
MOSCOW  
NEW YORK  
WASHINGTON

Appln. Trans.  
PATENT

UTILITY PATENT  
APPLICATION  
TRANSMITTAL

(Only for new nonprovisional  
applications under 37 CFR 1.53(b))

Attorney Docket No. 32774 PCT A USA

First Named Inventor Georges Baikoff, et al.

Express Mail Label No. EF 321 686 327 US

Total Pages 30

11046 U.S. PTO  
09/833958  
04/12/01

April 12, 2001

**BY EXPRESS MAIL - Label No. EF 321 686 327 US**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of Georges Baikoff and Scott Hampton entitled "SCLERAL EXPANSION SEGMENT" which includes:

- |   |                       |
|---|-----------------------|
| <input checked="" type="checkbox"/> Specification   | <u>21</u> Total Pages |
| <input checked="" type="checkbox"/> Cross Reference to Related Applications;<br>Background of the Invention; Summary<br>of the Invention; Brief Description of the Drawings;<br>and Detailed Description of the Invention | <u>13</u> pages       |
| <input checked="" type="checkbox"/> Claims  | <u>2</u> pages        |
| <input checked="" type="checkbox"/> Abstract  | <u>1</u> page         |
| <input checked="" type="checkbox"/> Drawings  | <u>5</u> sheets       |
| <input checked="" type="checkbox"/> formal (Figs. 1-5)  |                       |
| <input type="checkbox"/> informal   |                       |
| <input type="checkbox"/> Combined Declaration and Power of Attorney   | <u>    </u> pages     |
| <input type="checkbox"/> Newly executed (original or copy)  |                       |
| <input type="checkbox"/> Copy from a prior application  |                       |

☒ If a continuing application, check appropriate box:

☐ Continuation      ☐ Divisional      ☒ Continuation-In-Part  
of prior application Serial No. PCT/FR99/02487 filed October 13, 1999.

☐ Amend the specification by inserting, before the first line, the following sentence:  
"This is a ☐ continuation ☐ divisional ☐ continuation-in-part  
of copending application No.                  filed                 ."

☒ The entire disclosures of the prior applications are considered as being part of the disclosure  
of the accompanying application and are hereby incorporated by reference therein.

04/12/01  
J1025 U.S. PTO

09/833958 - 04/12/01

☒ An Assignment of the invention

☐ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

☒ will follow.

☐ has been filed in the prior application and recorded at Reel/Frame \_\_\_\_\_ on \_\_\_\_\_

☐ Small Entity Statement(s)

☐ is/are attached.

☐ Small Entity Statement filed in prior application. Status still proper and desired.

☐ will follow.

☐ Information Disclosure Statement

\_\_\_\_\_ pages

☐ PTO Form 1449

\_\_\_\_\_ pages

☐ Copies of IDS Citations.

☐ Copies of IDS Citations are not required.

Also accompanying this patent application are:

☐ Preliminary Amendment

\_\_\_\_\_ pages

☒ Return Receipt Postcard

☐ Other \_\_\_\_\_

☒ The filing fee has been calculated as shown below:

FOR	(Col. 1) No. Filed	(Col. 2) No. Extra	Small Entity Rate	Small Entity Fee	OR	Other Than A Small Entity Rate	Other Than A Small Entity Fee
Basic Fee				\$355.00			\$710.00
Total Claims	14	-20 = 0	x 9 =	\$0.00		18 =	\$00.00
Ind. Claims	1	-3 = 0	x 40 =	\$0.00		80 =	\$00.00
Multiple Dependent Claim			+ 135 =			270 =	\$0.00
			Total	<u>\$0.00</u>			<u>\$710.00</u>

\* If the difference in Col. 1 is less than zero, enter "0" in Col. 2. Fee Payment Being Made:

☒ Enclosed

☒ Basic filing fee \$710.00

☐ Recording Assignment \$0.00

[\$40.00; 37 CFR 1.21(h)]

Total Fees Enclosed \$710.00

☒ A check in the amount of \$710.00 to cover filing fee is enclosed.

☐ Please charge our Deposit Account No. 02.4377 in the amount of \_\_\_\_\_. Two copies of this sheet are enclosed.

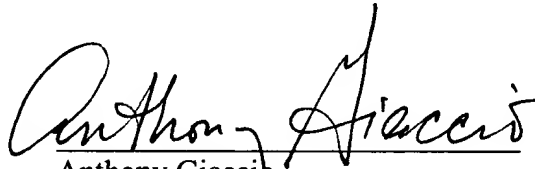
Priority:

- [X] Priority is claimed of application Serial No. PCT/FR99/02487 filed October 13, 1999 and French Serial No. 98/12834 filed October 13, 1998.
- [X] Certified Copy of Priority Document(s) Country   , Appln No.   , filed   .  
[ ] has been filed in the parent application S/N   .  
[ ] is/are attached.  
[X] will follow.

- [X] The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.
- [X] The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.
- [X] The Commissioner is conditionally authorized to charge payment of any fees associated with this application or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

Respectfully submitted,

BAKER BOTTS L.L.P.

  
Anthony Giaccio  
Patent Office Reg. No. 39,684

Enclosures